



PATENT
027448.0009

On a reasonable basis and information and belief, I hereby certify, to the best of my knowledge, that this correspondence, including any papers, letters, or fees referred to as being attached, enclosed, or otherwise affixed herewith, is being deposited with the United States Postal Service on the date set forth below with sufficient postage as First Class Mail in an envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Name of Person Certifying Deposit: JOHN H. D'ANTICO
Signature of Person Certifying Deposit: John H. D'Antico
Date of Deposit: JULY 20, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application: 09/828,597
Filing Date: April 5, 2001
Inventors: Bullock et al.
Title: Method and System for Migrating Dynamic Master Templates In A Biometric Verification System
Examiner: To Be Assigned
Art Unit: 2131
Attorney Docket: 027448.0009

SUBMISSION OF MISSING PARTS AND RELATED APPLICATION PAPERS

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Documents Enclosed:

In response to the **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**, which was mailed by the Patent Office on May 21, 2001, enclosed are the following:

- ☒ Part 2 of the Notice to File Missing Parts of Nonprovisional Application;
- ☒ Fee Transmittal Sheet for FY 2001;
- ☒ Transmittal Form;
- ☒ Declaration;

☒ Recordation Form Cover Sheet;

☒ Assignment;

Note: **A Recordation of Notice Assignment** was received in error, as the originally submitted assignment was not executed. Appropriate correction is hereby requested. To prevent confusion, this document was not submitted herewith, but is available upon request.

☒ Grant of Power of Attorney;

☒ Verified Statement claiming Small Entity Status; and

☒ Substituted Formal Drawings in accordance with 37 CFR 1.84 (6 figures on 7 sheets).

2. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

	EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/>	one month	\$ 55	\$ 110
<input type="checkbox"/>	two month	\$ 195	\$ 390
<input type="checkbox"/>	three month	\$ 445	\$ 890
<input type="checkbox"/>	four month	\$ 695	\$ 1,390
<input type="checkbox"/>	five month	\$ 945	\$ 1,890

3. FILING FEES:

BASIC FILING FEE:			\$ 710
Total Claims	32 - 20 = 12 x \$ 18		\$ 216
Independent Claims	2 - 3 = 0 x \$ 80		\$ 0
Multiple Dependent Claims	\$ 270 (if applicable)	<input type="checkbox"/>	\$ 0
Surcharge 37 CFR § 1.16(e)	\$ 130 (if applicable)	<input checked="" type="checkbox"/>	\$ 130
TOTAL OF ABOVE CALCULATIONS:			\$ 1,056
Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.			<input checked="" type="checkbox"/> \$ 528
Extension of Time	(from above) (if applicable)	<input type="checkbox"/>	\$ 0
Assignment—\$40	(if applicable)	<input checked="" type="checkbox"/>	\$ 40
TOTAL FEES SUBMITTED HEREWITH:			\$ 568

4. METHOD OF PAYMENT OF FEES:

- ☒ Check in the total amount of \$ 568 is enclosed to cover the above fee(s).
☐ Charge Deposit Account No. **50-1273** in the amount of \$ 0.
☒ The Commissioner is authorized to charge Counsel's Deposit Account No. **50-1273** for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account **50-1273**.

Respectfully submitted,

BROBECK, PHLEGER & HARRISON LLP

Dated: July 20, 2001

By: John H. D'Antico
 John H. D'Antico
 Reg. No. 45,917

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PTO/SB/17 (11-00)

Approved for use through 10/31/2002, OMB 0851-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$568

Complete if Known

Application Number	09/828,597
Filing Date	April 5, 2001
First Named Inventor	Garland R. Bullock
Examiner Name	To Be Assigned
Group Art Unit	2131
Attorney Docket No.	027448.0009

METHOD OF PAYMENT

- 1 ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

Deposit
Account
Name

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) \$355

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
32	-20** = 12	9	108
2	-3** = 0	40	0

Large Fee Code	Small Fee Code	Small Fee (\$)	Fee Description
103	203	9	Claims in excess of 20
102	202	40	Independent claims in excess of 3
104	204	135	Multiple dependent claim, If not paid
109	209	40	**Reissue independent claims over original patent
110	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$108

**Or number previously paid, if greater: For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	65
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility Issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$105

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	John H. D'Antico	Registration No. (Attorney/Agent)	45,917
Signature	<i>John H. D'Antico</i>	Telephone	(512) 330-4000
		Date	July 20, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.